

PTO/SB/05 (08-00)

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Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. DI-5654

First Inventor Scott A. Ruddell

Title Peritoneal Dialysis Catheters

(Only for new nonprovisional applications under 37 CFR 1.53(b))	Express Mail Label No. EK977969705US				
APPLICATION ELEMENTS	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application				
See MPEP chapter 600 concerning utility patent application contents.	Washington, DC 20231				
T Fee Transmittal Form (e.g., PTO/SB/17)  (Submit an original and a duplicate for fee processing)	CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)				
Applicant claims small entity status.	Nucleotide and/or Amino Acid Sequence Submission				
See 37 CFR 1.27.	(if applicable, all necessary)				
3. X Specification [Total Pages [33]]	a. Computer Readable Form (CRF)				
- Descriptive title of the invention	b. Specification Sequence Listing on:				
<ul> <li>Cross Reference to Related Applications</li> <li>Statement Regarding Fed sponsored R &amp; D</li> </ul>	i. ☐ CD-ROM or CD-R (2 copies); or				
<ul> <li>Reference to sequence listing, a table,</li> </ul>	ii.□ paper				
or a computer program listing appendix - Background of the Invention	c. Statements verifying identity of above copies				
- Brief Summary of the Invention					
<ul> <li>Brief Description of the Drawings (if filed)</li> <li>Detailed Description</li> </ul>	ACCOMPANYING APPLICATION PARTS				
- Claim(s)	9. Assignment Papers (cover sheet & document(s))				
- Abstract of the Disclosure	10. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney				
4. X Drawing(s) (35 U.S.C. 113) [ Total Sheets 6 ]	11. English Translation Document (if applicable)				
5. Oath or Declaration [Total Pages 3]	12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations				
a. X Newly executed (original or copy)	13. Preliminary Amendment				
b. Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 17 completed)	14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)				
i <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s)	15. Certified Copy of Priority Document(s) (if foreign priority is claimed)				
named in the prior application, see 37 CFR	16. X Other: Express Mail Certificate				
1.63(d)(2) and 1.33(b).  6. Application Data Sheet, See 37 CER 1.76	Other: Express Hall Celtificate				
Typhication Bata choose coc of Office					
17. If a CONTINUING APPLICATION, check appropriate box, and suppor in an Application Data Sheet under 37 CFR 1.76:	ly the requisite information below and in a preliminary amendment,				
Continuation Date Street under 37 G 17 1,7 6.	of prior application No.:/				
Prior application information: Examiner					
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under					
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.					
18. CORRESPONDE					
Customer Number or Bar Code Label (Insert Customer No. or Attach ba	or Correspondence address below				
(max) control to a					
Name Charles R. Mattenson					
Baxter Healthcare Co					
	DF3-3E				
City Deerfield	State Illinois Zip Code 60015				
Country US Tele	Pephone   847/948-3315   Fax   948-3078				
Name (Print/Type) Charles R. Mattenson	Registration No. (Attorney/Agent) 30,660				
Signature Was PARTITO VO II Fr	Data 10/12/2000				

Burden Hour Statement: This form sestimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

15923 U.S. PTO 09/689508 10/12/00



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## FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** 

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Complete if Known				
Application Number				
Filing Date				
First Named Inventor	Scott A. Ruddell			
Examiner Name				
Group Art Unit				
Attorney Docket No.	DI-5654			

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES Large Entity Small Entity				
Account Number	Fee Fee Fee Fee Fee Description	Fee Paid			
Deposit	105 130 205 65 Surcharge - late filing fee or oath				
Account Baxter Int'l. Inc./Renal	127 50 227 25 Surcharge - late provisional filing fee or cover sheet				
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	139 130 139 130 Non-English specification				
Applicant claims small entity status.	147 2,520 147 2,520 For filing a request for ex parte reexamination				
See 37 CFR 1.27	112 920* 112 920* Requesting publication of SIR prior to Examiner action				
2. Payment Enclosed:  Check Credit card Money Order Other	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action				
FEE CALCULATION	115 110 215 55 Extension for reply within first month				
1. BASIC FILING FEE	116 380 216 190 Extension for reply within second month				
Large Entity Small Entity	117 870 217 435 Extension for reply within third month				
Fee Fee Fee Fee Description	118 1,360 218 680 Extension for reply within fourth month				
101 600 201 245 Hillip Sline for	128 1,850 228 925 Extension for reply within fifth month				
106 310 206 155 Design filing fee	119 300 219 150 Notice of Appeal				
107 480 207 240 Plant filing fee	120 300 220 150 Filing a brief in support of an appeal				
108 690 208 345 Reissue filing fee	121 260 221 130 Request for oral hearing				
114 150 214 75 Provisional filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding				
	140 110 240 55 Petition to revive - unavoidable				
SUBTOTAL (1) (\$) 690:	141 1,210 241 605 Petition to revive - unintentional				
2. EXTRA CLAIM FEES					
Fee from  Ext <u>ra Claims below</u> Fee Paid	143 430 243 215 Design issue fee				
Total Claims $37 - 20 = 17 \times 18 = 306$	144 580 244 290 Plant issue fee				
Independent 7 - 3** = 4 x 78 = 312	122 130 122 130 Petitions to the Commissioner				
Multiple Dependent	123 50 123 50 Petitions related to provisional applications				
**or number previously paid, if greater; For Reissues, see below	126 240 126 240 Submission of Information Disclosure Stmt				
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per property (times number of properties)				
103 18 203 9 Claims in excess of 20	146 690 246 345 Filing a submission after final rejection				
102 78 202 39 Independent claims in excess of 3	(37 CFR § 1.129(a)) 149 690 249 345 For each additional invention to be				
104 260 204 130 Multiple dependent claim, if not paid	examined (37 CFR § 1.129(b))				
109 78 209 39 ** Reissue independent claims over original patent	179 690 279 345 Request for Continued Examination (RCE)				
110 18 210 9 "Reissue claims in excess of 20 and over original patent	169 900 169 900 Request for expedited examination of a design application				
Other fee (specify)					
SUBTOTAL (2) (\$) 618. Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)					

SUBMITTED BY			Complete (if applicable)			
Name (Print/Type)	11	Charles R. Mattenson	Registration No. (Attomey/Agent)	30,660	Telephone	847/948-3315
Signature	$\coprod$	Ne less titalleuron	$\sum_{i=1}^{n}$		Date	10/12/2000

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October 12, 2000

## CERTIFICATE OF MAILING BY EXPRESS MAIL

Docket No. DI-5654

Inventors: RUDDELL, et. al.

I certify that this Patent Application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on October 12, 2000.

Express Mail No. EK977969705US

MICHAELS. LEONARD